



TradeZero America, Inc.  
68 34<sup>th</sup> Street, Suite 513  
Brooklyn, NY 11232  
*Member FINRA | SIPC*

## **Privacy Policy Notice**

TradeZero America, Inc. (TZA) believes you should understand how we treat our customers' nonpublic personal information, and how we use this information to provide products and services to you at your request. This notice, which you should read carefully, addresses our privacy policies and practices at TradeZero America, Inc.

### **What information do we collect?**

We collect nonpublic personal information about you from the following sources:

- Information we receive from you on applications or other forms (i.e., name, address, Social Security number)
- Information about your transactions with us, our affiliates, or others (i.e., to verify account balance and to check on deposits sent to our clearing firm); and
- Information we receive from you through correspondence (i.e., mail, faxes, electronic correspondence, etc.).

### **What information do we disclose?**

WE DO NOT DISCLOSE ANY NONPUBLIC PERSONAL INFORMATION ABOUT YOU TO ANYONE, EXCEPT AS PERMITTED BY LAW. In addition, TZA does not sell or lease any nonpublic personal information about you to anyone. We may disclose any nonpublic personal information, as permitted by law, to effect, service, administer or process a transaction, product or service you have authorized or requested; to protect against fraud, liability or damages to property; to respond to judicial process; or in connection with settling a transaction.

**Who may we disclose your information to?**

We disclose nonpublic personal information under circumstances described above in paragraph 2 to the following parties:

- Affiliates: TradeZero America, Inc.
- Apex Clearing Corporation
- Vision Financial Markets LLC
- Nonaffiliated third parties as permitted by law.

**How do we treat inactive or closed accounts?**

If you decide to close your account(s) or become an inactive customer, we will adhere to the privacy policies and practices as described in this notice.

**Will you be able to opt-out of information that we provide to our affiliate and nonaffiliated third parties?**

We disclose nonpublic personal information only when it is both permitted by law and required for the ordinary course of business. Therefore, you will not be able to opt-out of the disclosure of nonpublic personal information that we provide to our affiliate and nonaffiliated third parties.

**Revised Policy Notices**

If we decide to change our privacy policy, we will notify you immediately by providing you with a copy of our revised privacy policy.

**Confidentiality and Security**

We restrict access to nonpublic personal information about you to those employees who need to know that information to provide products or services to you. We maintain physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

If you have any questions about security, please feel free to contact us at [support@tradezero.us](mailto:support@tradezero.us).